

06-04-01

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ADAMS & WILKS

ATTORNEYS AND COUNSELORS AT LAW  
50 BROADWAY  
31st FLOOR  
NEW YORK, NEW YORK 10004

BRUCE L. ADAMS  
VAN C. WILKS

JOHN R. BENEFIEL  
PAUL R. HOFFMAN  
TAKESHI NISHIDA  
FRANCO S. DE LIGUORI

\* NOT ADMITTED IN NEW YORK  
\* REGISTERED PATENT AGENT

BY EXPRESS MAIL ON  
June 1, 2001  
(EK 825 217 138 US)

RIGGS T. STEWART  
(1924-1993)

TELEPHONE  
(212) 809-3700

FACSIMILE  
(212) 809-3704

Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Hirofumi HARADA

TITLE: VERTICAL MOS TRANSISTOR AND A METHOD OF MANUFACTURING  
THE SAME

DOCKET NO: S004-4310

S I R:

Kindly find enclosed herewith for filing the documents  
checked below. Any fees or charges not covered by the  
accompanying check should be charged to our Deposit Account  
No. 01-0268.

APPLICABLE ITEMS CHECKED:

XX PATENT APPLICATION (pages 1-21 of specification, 9  
claims (pages) 18-21 and abstract of the  
disclosure, (page) 22).

DESIGN APPLICATION (specification, claim, declaration and  
power of attorney and photograph(s))

XX FORMAL DRAWINGS ( 5 sheets) (NI A4)

INFORMAL DRAWINGS ( sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

XX PRIORITY CLAIM - applicant(s) claim the benefit of  
priority of the following application(s):

Japanese Patent Appln. No. 2000-166214 filed June 2, 2000

XX PRIORITY DOCUMENT(s)

       Enclosed XX Not Enclosed

       VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

       OTHER -

XX FEE

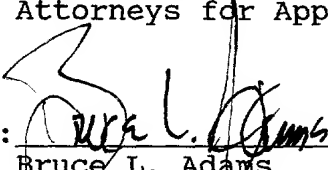
A check is enclosed for the following official fees:

|   | Large<br>Entity | Small<br>Entity |
|---|-----------------|-----------------|
| <u>XX</u> Basic Filing Fee                    | \$ 710.00       | \$              |
| <u>0</u> Independent Claims<br>in Excess of 3 | \$              | \$              |
| <u>0</u> Total Claims in<br>Excess of 20      | \$              | \$              |
| <u>      </u> Multiple Claim Fee              | \$              | \$              |
| <u>      </u> Assignment Recordal Fee         | \$              | \$              |
| TOTAL   | \$ 710.00       | \$              |

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicant

50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

By:   
Bruce L. Adams  
Reg. No. 25,386

Re: New patent application of Hirofumi HARADA

for VERTICAL MOS TRANSISTOR AND A METHOD OF MANUFACTURING THE  
SAME

comprising transmittal letter, title, specification (pgs.1-21),  
9 claims (pgs.18-21), abstract of the disclosure (pg.22), 5  
sheets of formal drawings containing thereon Figs. 1-11, express  
mail certification and check in the amount \$710.00

Attorney's Docket No: S004-4310

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

Express Mail Tracking Number: **EK 825 217 138 US**

Date of Deposit: **June 1, 2001**

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Michael Ruas